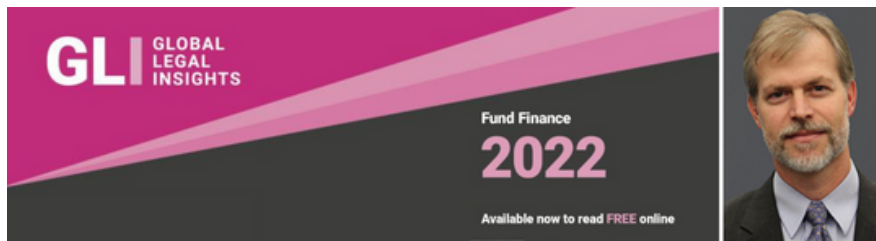


CADWALDER

## Fund Finance Market Review in the Pink Book

January 28, 2022 | Issue No. 160



The 2022 “Pink Book” – Fund Finance’s leading legal treatise – was published this week. Mike Mascia, the Contributing Editor, opened this year’s edition with a Fund Finance Market Update article in which he provides a high-level overview of key industry themes in 2021 within the context of the broader capital markets and economic environment, and shares his thoughts on potential developments for 2022. It can be read [here](#). All of the book’s chapters are available [here](#) at no charge, and hard copies will be available at the FFA conference in Miami.



Join best-selling author Michael Lewis and Diversity in Fund Finance speaker Sekou Kaalund, Chase's Head of Consumer Banking for the Northeast Division and Head of Advancing Black Pathways, for fireside chats at the 11th Annual Global Fund Finance Symposium.

While attendance capacity has been reached for the event, if you are interested in being placed on a waitlist, please contact [info@fundfinanceassociation.com](mailto:info@fundfinanceassociation.com).

### About the speakers:

Financial journalist and *The New York Times* best-selling author Michael Lewis has published 16 books, covering a range of subjects from politics to Wall Street. Two of his popular releases include *The Big Short* and *Boomerang*, both narratives set in the global financial crisis, and his other highly rated works include *Moneyball*, *Flash Boys* and *Liar's Poker*. In Lewis's most recent publication, *The Premonition: A Pandemic Story*, you'll follow the stories of a band of medical visionaries pitted against the White House administration in the face of the outbreak of COVID-19.

Recognized by *Ebony* magazine in its 2020 Power 100 list, Sekou Kaalund has over two decades of Wall Street experience and currently serves as head of Consumer Banking for the Northeast Division at Chase. In recognition of his commitment to impact and experience building businesses, Sekou was tapped to launch Advancing Black Pathways, the first global corporate initiative to leverage a data-driven strategic framework to develop scalable programs to reduce the racial wealth gap. The initiative has been hugely successful, leading to a historic five-year, firm-wide \$30B commitment to advance racial equity.

## The SEC Revisits Private Fund Disclosure

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Earlier this week, the SEC proposed revisions to Form PF, a confidential reporting tool used by certain SEC-registered investment advisers to private funds. The revisions are open to public comment and, in the main, propose expanding Form PF to require current reporting of key stress events and to bring more advisers into the reporting requirement by lowering the reporting AUM threshold. The proposed rule can be found [here](#) and Cadwalader partner Steven Lofchie's to-the-point summary [here](#).

## Navigating Alternative Liquidity Solutions

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Samantha Hutchinson and Brian Foster co-authored “Navigating Alternative Liquidity Solutions” in the 2022 Pink Book, which highlights various financing trends since March 2020 – including “PRAV” facilities, preferred equity solutions and continuation financings – and how they work for particular liquidity needs. The chapter is available [here](#). In addition, Sam and Nathan Parker again co-authored the jurisdictional chapter covering England and Wales, which considers the role of English law in fund financings across Europe. Read the chapter [here](#).

## Jeff Johnston and Mike Mascia Webinar in Connection with Pink Book Release

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Jeff Johnston and Mike Mascia sat down with James Strode of Global Legal Group for a webinar this week discussing the state of the Fund Finance market, the upcoming FFA Conference in Miami and the release of the Pink Book. To watch the video, click [here](#).

## **Sanne Connect North America, Issue 30 – ‘How to Realize the Full Potential of ESG’**

**January 28, 2022 | Issue No. 160**

While ESG funds may be in vogue for institutional investors, lenders seem to have a different slant. For insight on how Customers Bank's Fund Finance team is adapting to embrace the "Double Bottom Line," click [here](#).

## Brickfield Article on Fund Finance Hiring

January 28, 2022 | Issue No. 160

Brickfield Recruiting published an article on the state of the Fund Finance labor markets this week – “Fund Finance recruitment in 2022: A busy market ahead.” As the only talent search company focused completely on fund finance, Brickfield addresses the sourcing and hiring of fund finance professionals amid a growing demand for quality candidates. The article is available [here](#).



## Samantha Hutchinson Shortlisted in 2022 'Women, Influence & Power in Law' UK Awards

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Cadwalader Fund Finance partner Samantha Hutchinson, who is Head of Fund Finance, UK, has been shortlisted as part of the *Law.com International* and *Legal Week's* 2022 "Women, Influence & Power in Law" (WIPL) UK Awards.

The **awards** honor top women lawyers, both in law firms and in-house legal departments, for their contributions to the legal profession over the past year. Sam's category, "Innovative Leaders," is an award "to recognize women who are the thinkers, the 'idea' women."

In 2021, Sam was the **recipient** of the WIPL UK "Thought Leadership – Private Practice" award.

WIPL 2022 honorees will be recognized during an awards ceremony on May 18, as part of the larger WIPL UK **event** in London. Best wishes to Sam and all those in the running!

## Fund Finance Hiring

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Fund Finance Hiring

BNY Mellon is looking for a senior professional to join its Credit Risk team. The Director for Alternative Funds will be part of a team that has responsibility for reviewing all alternative fund types, including Hedge Funds, Private Equity Funds, Structured Credit Vehicles and other alternative fund structures. More details about the job can be found [here](#). All interested candidates can reach out to **Kevin Sullivan**.

Citi Private Bank is looking to hire two specialists into its EMEA Fund Finance team, based in London. If interested, please visit [here](#).

Commonwealth Bank of Australia is looking for an associate director to join its Non-Bank Financial Institutions team in New York. More information is available [here](#).

People's United Bank is looking for a Portfolio Manager for its Fund Banking group. For more information, email **Michael Sinclair**, Head of Fund Banking.

Standard Chartered is hiring for an Executive Director of Public Pension Funds. To learn more about the role, please visit [here](#).

State Street is looking for a credit analyst officer to join its Subscription Finance team in Boston. Candidates will have around two or more years of experience in credit analysis or related finance experience. For more information, please visit [here](#).

U.S. Bank is hiring a Vice President and Associate to join the Subscription Finance team. Interested candidates can apply [here](#) and [here](#).