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CFTC Issues Notice of Proposed Rulemaking in Connection with Risk Management Program Regulations for SDs, MSPs and FCMs



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The Commodity Futures Trading Commission, on May 31, published an Advance Notice of Proposed Rulemaking seeking public comment in connection with potential amendments to the regulations under the Commodity Exchange Act relating to the risk management program requirements for swap dealers, major swap participants and futures commission merchants registered with the CFTC. Additionally, the CFTC is seeking comment on how to alter the current periodic risk reporting regime for such market participants. The changes the CFTC has proposed could result in either significant operational efficiencies or greater operational burdens for market participants if implemented; accordingly, market participants should consider submitting comments.

You can access our Clients & Friends Memo here.